



Snapshot of the Profession

	SEP 2015	DEC 2015
Active Practising Members	3,210	3,333
On Leave of Absence	89	80
Total "in good standing" Members	3,299	3,413
Suspensions	81	95
Potential Active Membership	3,380	3,508

Leaves of Absence

	SNAP-SHOT OF ACTIVE LEAVES AS OF MONTH-END		
	YEAR END JUN 2015	SEP 2015	DEC 2015
Family Leave	31	34	30
Medical	17	22	20
Full-Time Student	13	11	10
Working for Government	5	5	3
Unemployed	4	4	3
Retirement	10	7	8
Other (Registrar Discretion)	5	4	6
	85	87	80

Resignations

	NEW AS OF				YEAR TO DATE	SINCE INCEPTION
	YEAR END JUN 2015	SEP 2015	DEC 2015	DEC 2015		
Loss of Interest	66	5	0	16	209	
Career Change	13	3	5	17	30	
Travelling/Sabbatical	2	0	0	3	10	
Health	6	1	0	1	11	
Retirement	5	0	0	2	15	
Called to the Bar	2	0	0	0	6	
Dual License	1	0	0	0	3	
Other	1	0	0	3	11	
	96	9	5	42	295	

Suspension Summary

			SNAP-SHOT AS OF MONTH-END		PERCENT
	YEAR END JUN 2014	YEAR END JUN 2015	SEP 2015	DEC 2015	
Total Individuals Under Suspension	162	101	60	95	2.7%
Suspension x 1	108	71	41	48	
Suspension x 2	32	14	10	31	
Suspension x 3	22	13	6	13	
Suspension x 4	0	1	1	2	
Suspension x 5	0	1	1	0	
Suspension x 6	0	1	1	1	
Non-Payment Dues	86	65	43	71	
Non-Payment PME Fines	26	2	2	1	
Non-Payment Compliance Audit Fines	0	17	12	14	
Non-Payment CPD Fines	30	7	4	44	
Non-Completion PME Courses	42	3	3	1	
Non-Submission Compliance Audit	55	28	16	18	
Non-Completion CPD (Zero Reported)	0	30	15	15	
Non-Completion of Membership Requirements	1	0	0	0	
Non-Completion of Resignation Process	0	0	0	0	
Non-Response to a Complaint or request for information	0	3	1	3	

Review Committee (Bankruptcy and Creditor Proposals)

As of 31 December 2015 there have been no recent filings of bankruptcy and credit proposals for the committee to review.

Complaints and Professional Standards

Summary of Open and Closed Complaint Files

	YEAR TO DATE	SINCE INCEPTION
New files received against Members	190	1,371
New files received against Non-Members	75	1,084
TOTAL COMPLAINTS RECEIVED	265	2,455
Non-Member Complaints	77	1,086
Member Complaints Closed at Investigations (Old Protocol)	69	917
Member Complaints Closed at Complaints Committee (NOT REFERRED)	26	76
Member Complaints Decided at Discipline Committee	17	51
TOTAL COMPLAINTS CLOSED	189	2,130

TOTAL OPEN CASES SINCE INCEPTION		
With Investigations	125	
With Complaints Committee	116	
With Discipline Counsel for review	24	
Prehearing Negotiations between Discipline Counsel, Member and Member's Counsel	47	
With Appeal Committee	1	
TOTAL OPEN FILES IN-PROCESS	325	13.2%

Members Subject to an Open Complaint

	MEMBERS	CASES	
1 complaint	190	190	
2 complaints	23	46	
3 complaints	6	18	
4 complaints	6	24	
5 complaints	2	10	
8 complaints	2	16	
9 complaints	1	9	
12 complaints	1	12	PERCENT OF MEMBERS
	231	325	6.8%

Member Files Closed/Referred

	YEAR END JUN 2012	YEAR END JUN 2013	YEAR END JUN 2014	YEAR END JUN 2015	YEAR TO DATE	SINCE INCEPTION
Closed - Referred to CBSA	5	14	57	4	19	99
Closed - sent to Law Society	0	0	0	1	0	1
Closed - Situation mediated and/or resolved	1	33	12	6	0	52
Not within ICCRC Jurisdiction	0	0	4	3	0	7
Closed - Member Revoked	0	0	1	15	25	41
Closed - Member Deceased	0	1	0	0	0	1
Closed - Submitted anonymously	1	2	0	0	0	3
Complaint form not returned	0	28	21	11	1	61
Closed - Unfounded or could not be proved	2	177	144	129	1	453
Closed	128	8	1	39	5	181
	137	263	240	208	51	899

Non-Member Files Closed/Referred

	YEAR END JUN 2012	YEAR END JUN 2013	YEAR END JUN 2014	YEAR END JUN 2015	YEAR TO DATE	SINCE INCEPTION
Involving unauthorized representatives sent to CBSA, RCMP	45	257	121	153	70	646
Sent to Law Society	2	2	3	9	3	19
Situation mediated and/or resolved	0	2	0	0	1	3
Not within ICCRC Jurisdiction	0	21	21	66	3	111
Unfounded or could not be proved	0	43	18	11	0	72
Complaint form not returned	0	45	37	10	0	92
Closed - Other	130	5	0	8	0	143
	177	375	200	257	77	1086

Decisions of Discipline Hearings

	YEAR END JUN 2015	YEAR TO DATE	SINCE INCEPTION
FILE CLOSED BY Discipline Counsel			
Prosecution not viable	5	5	10
Administratively revoked by Registrar	11	0	12
HEARINGS CONCLUDED - No Appeal Filed			
No finding of guilt - case dismissed	0	1	1
Finding of guilt - DC Monitoring	2	4	6
Caution only	0	0	0
Remedial education order only	0	0	0
Short-term suspension (plus)	1	0	1
Permitted to resign - re-admission possible	0	0	0
Permitted to resign - Lifetime prohibit on readmission	0	0	6
Revocation - re-admission possible	0	0	0
Revocation - lifetime prohibit on readmission	1	1	2
No finding of guilt - charges withdrawn	0	1	1
No finding of guilt - charges abandoned	0	5	5
	20	17	44